

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:****Birk, et. al.****Serial No.:****Filed:****Title: System and Method for Secure  
Network State Management and  
Single Sign-On**

: Group Art Unit:  
: Intellectual Property Law Department  
: International Business  
: Machines Corporation  
: Intellectual Property Law Dept.  
: 11400 Burnet Road  
: Austin, Texas 78758

**INFORMATION DISCLOSURE STATEMENT**

The Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Serial No. \_\_\_\_\_

Applicant: Birk, et. al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920030095US1

**U. S. PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	Date	Name	Class	Subclass	Filing Date if Appropriate
_____ AA	6,353,891	Mar 5, 2002	Borella et al.	713	201	
_____ AB	6,253,202	Jun 26, 2001	Gilmour	707	9	
_____ AC	6,421,768	Jul 16, 2002	Purpura	711	164	
_____ AD	5,875,296	Feb 23, 1999	Shi et al.	395	188.01	
_____ AE						
_____ AF						
_____ AG						
_____ AH						
_____ AI						
_____ AJ						
_____ AK						
_____ AL						

**FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	Date	Country	Class	Subclass	Translation Yes <u>      </u> No <u>      </u>
_____ AM						
_____ AN						
_____ AO						
_____ AP						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner <u>Initial</u>	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.